Form PTO-1390

U.S. DEPARTMENT OF COMMERCE LATTORNEYS DOCKET NUMBER JUN 2006

PATENT AND TRADEMARK OFFICE

P29981

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO (It more), see 37 CFR 1.5)

INTERNATIONAL APPLICATION NO.

PCT/IB/304. PCT/IB/308 (2). Claim of Priority. INTERNATIONAL FILING DATE 1 December 2004

PRIORITY DATE CLAIMED

PCT/EP2004/013602 9 December 2003 TITLE OF INVENTION MEMBRANE FILTER SYSTEM COMPRISING PARALLEL CROSS-FLOW FILTER MODULES APPLICANT(S) FOR DO/EO/US Werner FUCHS, Christoph LUKASCHEK, and Robert VRANITZKY Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information. 1. Main This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. A This is an express request to promptly begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (23) indicated below. The US has been elected (PCT Article 31). 5. A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. 🛛 is attached hereto (required only if not communicated by the International Bureau). b. A has been communicated by the International Bureau. c. is not required, as the application was filed in the United States Receiving Office (RO/US). 6. An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)). a.  $\square$  is attached hereto. b. has been previously submitted under 35 U.S.C. 154 (d) (4). 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a.  $\square$  are attached hereto (required only if not communicated by the International Bureau). b.  $\square$  have been communicated by the International Bureau. c.  $\square$  have not been made; however, the time limit for making such amendments has NOT expired. d. In have not been made and will not be made. 8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. An oath or declaration of the inventor(s) (35 U.S.C. .371(c)(4)). "Unexecuted" 10. An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 22 below concern other document(s) or information included: 11. Assignee: VA TECH WABAG GmbH, of Wien, Austria 12. An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 13. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 14. A FIRST preliminary amendment. A SECOND or SUBSEQUENT preliminary amendment. 15. An Application Data Sheet under 37 C.F.R. 1.76. 16. A substitute specification. 17. 

A power of attorney and/or change of address letter. 18. Figure of Drawing to be published: 1 19. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825. 20. A second copy of the published international application under 35 U.S.C. 154 (d) (4). 21. A second copy of the English language translation of the international of the international application under 35 U.S.C. 154 (d) (4). 22. 

Other items or information: Cover Letter under 35 U.S.C. 371 and 37 C.F.R. 1.495. International Application as published in German. PCT/ISA/210 International Search Report (in English and German). PCT/IPEA/409 International Preliminary Examination Report with Annex (in German). PCT/IPEA/416 (in German).

P29981.P01	·	*AP	3 Rec'd PCT	/PTO 08JUI	V 2003
U.S. APPLICATION TO STORY OF THE STORY OF TH		INTERNATIONAL APPLICATION NO. PCT/EP2004/013602		ATTORNEY'S DOCKET NUMBER	
				P29	
_				CALCULATIONS	PTO USE ONLY
23  The following fees are submitted:  Basic national fee				\$300.00	
24 Examination Fee  If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33 (1)-(4)				\$200.00	
	······	200.00			
nternational Searching Au nternational Search Report	(a) (2)) has been paid on the thority	0.00 the Office400.00	the USPTO as an	\$400.00	
TOTAL 23, 24 and 25 =				\$900.00	
sheets (excluding seque	pecification and drawings ence listing or computer p e fee is \$250.00 for each f.	program listing filed in		·	
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
-100=	/50 =		× \$250.00	\$ 0.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).				\$ 0.00	
Claims	Number Filed	Number Extra	Rate		
Total Claims	- 20 =		× \$50.00	\$ 0.00	
ndependent Claims	- 3=		× \$200.00	\$ 0.00	
Multiple Dependent Cla	im(s) (if applicable)		+ \$360.00	\$ 0.00	
TOTAL OF ABOVE CALCULATIONS =				\$900.00	
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.				\$ 0.00	
			SUBTOTAL =	\$900.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492 (i)).				\$ 0.00	
Extension of Time fee in the amount of \$				\$ 0.00	
TOTAL NATIONAL FEE =				\$900.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$ 0.00	
TOTAL FEES ENCLOSED =				\$900.00	
				Amount to be refunded	\$
				Charged	\$
_	ount of \$ <u>900.00</u> to cover  Deposit Account No			over the above fees.	
overpayment to D d. ☐ Fees are to be cha Credit card infor NOTE: Where an appr (b)) must be filed	and Trademark Office is I eposit Account No. 19-00 arged to a credit card. We mation should not be incopriate time limit under and granted to restore	Name 1899.  ARNING: Information or included on this form. Per 37 CFR 1.494 or 1.495 the application to pend	this form may bed rovide credit car in has not been me	come public. nformation and authoriz	ation on PTQ-2038.
SEND ALL CORRESPONDENCE TO <b>CUSTOMER NO. 07055</b> AT THE PRESENT ADDRESS OF:				SIGNATURE	Robert W. Muel
Neil F. Greenblum	OTEIN DI O			Noil E. Crossbluss	Reg. No. 35,043
GREENBLUM & BERNSTEIN, P.L.C. 1950 Roland Clark Place			Neil F. Greenblum NAME		
Reston, VA 20191	-				
(703) 716-1191				28,394	

28,394 REGISTRATION NUMBER



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Werner FUCHS et al. Mail Stop PCT

Appl. No. : Not Yet Assigned (U.S. National Phase of PCT/EP2004/013602)

I.A. Filed: December 1, 2004

For : MEMBRANE FILTER SYSTEM COMPRISING PARALLEL CROSS-FLOW

FILTER MODULES

## COVER LETTER ACCOMPANYING U.S. NATIONAL STAGE PATENT APPLICATION FILED UNDER 35 U.S.C. 371 AND 37 C.F.R. 1.495

## **Mail Stop PCT**

Commissioner for Patents
U.S. Patent and Trademark Office
Customer Service Window, Mail Stop PCT
Randolph Building
401 Dulany Street
Alexandria, VA 22314

Sir:

Enclosed is a new National Stage patent application for filing in the U.S. Patent and Trademark Office under 35 U.S.C. 371 and 37 C.F.R. 1.495. The Declaration and Power of Attorney attached thereto are in unexecuted form. Additionally, an English translation of the International Application, as filed, is not being forwarded herewith. A properly executed Declaration and Power of Attorney, and an English language translation of the International Application, as filed, will be filed within the period of time set in a Notification to be mailed by the United States Patent and Trademark Office.

Related to the above, this application should be associated with Customer Number 07055, which includes the present correspondence address as follows:

GREENBLUM & BERNSTEIN, P.L.C. 1950 Roland Clarke Place Reston, VA 20191 P29981.P02

If there are any questions pertaining to this National Stage Application, please contact the undersigned.

Respectfully submitted, Werner FUCHS et al.

Neil F. Greenblum

Reg. No. 28,394

Robert W. Mueliler Reg. No. 35,043

June 8, 2006 GREENBLUM & BERNSTEIN, P.L.C. 1950 Roland Clarke Place Reston, VA 20191 (703) 716-1191